

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Tokuharu KIMURA, et al.**

Serial Number: **Not Yet Assigned**

Filed: **January 27, 2004**

For: **COMPOUND SEMICONDUCTOR DEVICE AND ITS MANUFACTURE**

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

January 27, 2004

Sir:

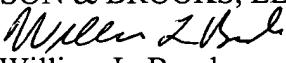
In compliance with 37 CFR 1.56, Applicants call to the attention of the Patent and Trademark Office the references listed on the attached PTO-1449.

A copy of each of the references is enclosed herewith.

In the event there are any fees due in connection with the filing of this paper, please charge  
Deposit Account No. 01-2340.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; References (3)

**INFORMATION  
DISCLOSURE  
STATEMENT**  
**PTO-1449**

Atty. Docket No. 040024

Serial No. **New Application**

Applicant(s): **Tokuharu KIMURA, et al.**

Filing Date: **January 27, 2004**

Group Art Unit: **Not Yet Assigned**

**U.S. PATENT DOCUMENTS**

| Examiner Initial | Document No. | Name | Date | Class | Subclass | Filing Date (If appropriate) |
|------------------|--------------|------|------|-------|----------|------------------------------|
| _____            | AA           |      |      |       |          |                              |
| _____            | AB           |      |      |       |          |                              |
| _____            | AC           |      |      |       |          |                              |
| _____            | AD           |      |      |       |          |                              |

**FOREIGN PATENT DOCUMENTS**

|       | Document No. | Date        | Country  | Translation (Yes or No)                           |
|-------|--------------|-------------|----------|---|
| _____ | AE           | 2001-230407 | 08/24/01 | Japan Yes-Abstract/Discussed in the specification |
| _____ | AF           | 2002-016087 | 01/18/02 | Japan Yes-Abstract/Discussed in the specification |
| _____ | AG           | 2002-359256 | 12/13/02 | Japan Yes-Abstract/Discussed in the specification |
| _____ | AH           |             |          |   |
| _____ | AI           |             |          |   |

**OTHER DOCUMENTS**

|       |    |  |
|-------|----|--|
| _____ | AJ |  |
| _____ | AK |  |

Examiner

Date Considered